

Governance and Audit Workplan for 2020/21 as at 8 June 2020

Purpose:

This report provides an overview of items scheduled for committee over the coming year.

Recommendation:

1. That members note the workplan.

Date	Title	Lead Officer	Purpose of the report	Date First Published
21 JULY 2020				
21 Jul 2020	4th Tier Governance Review	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	As requested by the Chairman of the Governance and Audit Committee	28 February 2020
21 Jul 2020	Annual Fraud Report	Tracey Bircumshaw, Strategic Finance and Business Support Manager	To appraise members of the activities undertaken to counter fraud and to report on any instances of fraud identified during 2019/20	
21 Jul 2020	Unaudited Statement of Accounts	Caroline Capon, Corporate Finance Team Leader	Review of the Unaudited Statement of Accounts	19 December 2019
21 Jul 2020	Annual Voice of the Customer Report 2019/20	Natalie Kostiuk, Customer Experience Officer	To summarise customer feedback from the year 2019/20 and analyse customer contact data to give a clear view of the voice of the customer.	
21 Jul 2020	Member Development Annual Report	Ele Snow, Democratic and Civic Officer	To provide a summary of Member Development over the previous 12 months, including progress made with the actions agreed by the Member Development Group. To review and approve the priorities for	28 February 2020

			Member Development for the coming year.	
21 Jul 2020	Internal Audit Quarter 1 2020/21	Assurance Lincolnshire	Report from Assurance Lincolnshire	19 December 2019
29 SEPTEMBER 2020				
29 Sep 2020	Annual Governance Statement 2019/20. Closure of the Annual Governance Statement 2018/19 Action Plan.	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To present the Council's Annual Governance Statement for 2019/20. To update progress against the Annual Governance Statement 2018/19 Action Plan.	28 February 2020
29 Sep 2020	Six Month Review of Strategic Risks	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To undertake and present the six-monthly review of the Council's strategic risks.	28 February 2020
29 Sep 2020	EXTERNAL AUDIT REPORT - ISA 260	Tracey Bircumshaw, Strategic Finance and Business Support Manager	External Auditor, Mazars will present their ISA260 report relating to our Statement of Accounts 2018/19	10 June 2019
29 Sep 2020	Audited Statement of Accounts 2019/20	Caroline Capon, Corporate Finance Team Leader	To review and sign off the 2019/20 Statement of Accounts	19 December 2019
13 OCTOBER 2020				
13 Oct 2020	Internal Audit Report Quarter 2 20/21	Assurance Lincolnshire	Quarter 2 report from Assurance Lincolnshire	19 December 2019
12 JANUARY 2021				
12 Jan 2021	Internal Audit Quarter 3 20/21 report	Assurance Lincolnshire	Quarter 3 report from Assurance Lincolnshire	
12 Jan 2021	External Audit Strategy Memorandum (Plan) 2020/21	Caroline Capon, Corporate Finance Team Leader	Review of External Audit plan for the Closure of the 2020/21 Accounts	

12 Jan 2021	Certification of Grants & Returns	Caroline Capon, Corporate Finance Team Leader	Review of the Certification of Grants and Returns
12 Jan 2021	Draft Treasury Management Strategy 2020/21	Caroline Capon, Corporate Finance Team Leader	Review of the Draft Treasury Management Strategy
9 MARCH 2021			
9 Mar 2021	Accounts Closedown 2020/21 Accounting Matters	Caroline Capon, Corporate Finance Team Leader	Review of Accounting Policies, Key Dates and Risk
13 APRIL 2021			
13 Apr 2021	Internal Audit Quarter 4 Report	Assurance Lincolnshire	Quarter 4 report from Assurance Lincolnshire