

Governance and Audit Workplan as at 28 September

Purpose:

This report provides a summary of items at committee over the 2020/2021 civic year.

Recommendation:

1. That members note the workplan.

Date	Title	Lead Officer	Purpose of the report	Date First Published
12 JANUARY 2021				
12 Jan 2021	Six Month Review of Strategic Risks	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To undertake and present the six-monthly review of the Council's strategic risks.	28 February 2020
12 Jan 2021	Governance and Audit Effectiveness	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer		24 June 2020
12 Jan 2021	Internal Audit Quarter 3 20/21 report	James Welbourn, Democratic and Civic Officer	Assurance Lincolnshire	08 June 2020
12 Jan 2021	External Audit Strategy Memorandum (Plan) 2020/21	Caroline Capon, Corporate Finance Team Leader	Review of External Audit plan for the Closure of the 2020/21 Accounts	08 June 2020
12 Jan 2021	Certification of Grants & Returns	Caroline Capon, Corporate Finance Team Leader	Review of the Certification of Grants and Returns	08 June 2020
12 Jan 2021	Treasury Management Practices	Caroline Capon, Corporate Finance Team Leader	Revision of the Treasury Management Practices	
12 Jan 2021	Draft Treasury Management Strategy 2020/21	Caroline Capon,	Review of the Draft Treasury	08 June 2020

Corporate Finance Team
Leader

Management Strategy

9 MARCH 2021

9 Mar 2021	Accounts Closedown 2020/21 Accounting Matters	Caroline Capon, Corporate Finance Team Leader	Review of Accounting Policies, Key Dates and Risk	08 June 2020
9 Mar 2021	Combined Assurance Report 2020/21	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To present the findings and analysis of the Council's Combined Assurance Report for 2020/21	
9 Mar 2021	Internal Audit Draft Annual Plan Report 2021/2022	James Welbourn, Democratic and Civic Officer	By Assurance Lincolnshire	

13 APRIL 2021

13 Apr 2021	Internal Audit Quarter 4 Report	James Welbourn, Democratic and Civic Officer	Assurance Lincolnshire	08 June 2020
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