

Governance and Audit Workplan as at 1 March

Purpose:

This report provides a summary of reports for Governance and Audit Committee.

Recommendation:

1. That members note the workplan

Date	Title	Lead Officer	Purpose of the report	Date First Published
13 APRIL 2021				
13 Apr 2021	CIPFA FINANCIAL MANAGEMENT CODE	Tracey Bircumshaw, Assistant Director of Finance and Property Services and Section 151 Officer	The Chartered Institute of Public Finance & Accountancy (CIPFA) has introduced a new code, The Financial Management Code 2019 (FM Code), which sets out for the first time, the standards of financial management for local authorities. The report provides Members assurance that the Council will be compliant for 2021/22	
13 Apr 2021	Annual Constitution Review and Monitoring Officer Report	Katie Storr, Senior Democratic & Civic Officer	To report to G and A, and then onto Council	
15 JUNE 2021				
15 Jun 2021	Unaudited Statement of Accounts 2020-21	Caroline Capon, Corporate Finance Team Leader	Unaudited Statement of Accounts 2020-21	04 January 2021
15 Jun 2021	Certification of Grants & Returns	Caroline Capon, Corporate Finance Team Leader	Review of the Certification of Grants and Returns	08 June 2020
15 Jun 2021	Review of Whistleblowing Activity	James Welbourn,	Yearly update report	

Democratic and Civic
Officer

20 JULY 2021

20 Jul 2021	Report to those charged with Governance - EXTERNAL AUDIT COMPLETION REPORT - ISA 260	Caroline Capon, Corporate Finance Team Leader	To present to those charged with governance, the External Audit report on the quality of the Statement of Accounts and Annual Governance Statement 2020/21.	04 January 2021
20 Jul 2021	Annual Fraud Report 2020/21	Tracey Bircumshaw, Assistant Director of Finance and Property Services and Section 151 Officer	To present to members work undertaken to mitigate fraud, report on any instances of fraud and action plan for 2021/22	
20 Jul 2021	Report on Progress Against the Committee's Effectiveness Action Plan	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To provide Members with an update on progress made against the Committee's effectiveness action plan	
20 Jul 2021	Audited Statement of Accounts	Caroline Capon, Corporate Finance Team Leader	Audited Statement of Accounts	04 January 2021

11 JANUARY 2022

11 Jan 2022	Draft Treasury Management Strategy 2022-23	Caroline Capon, Corporate Finance Team Leader	Draft Treasury Management Strategy	
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8 MARCH 2022

8 Mar 2022	Accounts Closedown 2021/22 Accounting Matters	Caroline Capon, Corporate Finance Team Leader	To review and approve the accounting policies, actuary assumptions and materiality levels that will be used for the preparation of the 2021/22 accounts. For the External Auditor to explain the process of the External Audit of the	
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Statement of Accounts and approach to the Value for Money audit 2021/22.

8 Mar 2022

External Audit Strategy Memorandum (Plan) for 2021/22

Caroline Capon,
Corporate Finance Team
Leader

To present the 2021/22 External Audit Strategy from our External Auditors, Mazars.
