

Governance and Audit Workplan as at 8 September

Purpose:

The table below provides a summary of reports that are due on the Forward Plan for the remainder of the Civic Year.

Recommendation:

1. That members note the contents of this document.

Title	Lead Officer	Purpose of the report
28 SEPTEMBER 2021		
Six Month Review of Strategic Risks	Emma Redwood, Assistant Director People and Democratic Services, James O'Shaughnessy, Head of Policy Strategy and Sustainable Environment / Deputy Monitoring Officer	To present the strategic risks facing the Council as at September
Update on Statement of Accounts 2020/21 and External Audit	Tracey Bircumshaw, Assistant Director of Finance and Property Services and Section 151 Officer	To inform the Committee to the delay in the approval of the Statement of Accounts.
Annual Governance Statement 2020/21 and Action Plan	James O'Shaughnessy, Head of Policy Strategy and Sustainable Environment / Deputy Monitoring Officer	The AGS and action plan for 2020/21
Adoption of a New Code of Conduct for Members	Katie Storr, Democratic Services & Elections Team Manager (Interim)	To consider adopting the new Iga model code of conduct as recommended by standards sub- committee and if supported to recommend to Full Council its adoption

9 NOVEMBER 2021

Audited Statement of Accounts	Caroline Capon, Corporate Finance Team Leader	Audited Statement of Accounts
Report to those charged with Governance - EXTERNAL AUDIT COMPLETION REPORT - ISA 260	Caroline Capon, Corporate Finance Team Leader	To present to those charged with governance, the External Audit report on the quality of the Statement of Accounts and Annual Governance Statement 2020/21.
Local Government and Social Care Ombudsman (LGO) Annual Review Letter Report 2020/21	Natalie Kostiuk, Customer Experience Officer	Report on the Local Government and Social Care Ombudsman Annual Review letter 2020/21 covering complaints referred to them between April 2020 and March 2021. Examining upheld complaints, learning actions and benchmarking with other authorities.
Internal Audit Quarter 2 Report 21/22	Democratic and Civic Officer	From Assurance Lincolnshire
Member Development Annual Report	Ele Snow, Democratic and Civic Officer	To review Member Development for the previous Civic Year and to agree relevant actions for the current Civic Year

11 JANUARY 2022

Draft Treasury Management Strategy 2022-23	Caroline Capon, Corporate Finance Team Leader	Draft Treasury Management Strategy
Internal Audit Quarter 3 Report 21/22	Democratic and Civic Officer	From Assurance Lincolnshire

8 MARCH 2022

Accounts Closedown 2021/22 Accounting Matters	Caroline Capon, Corporate Finance Team Leader	To review and approve the accounting policies, actuary assumptions and materiality levels that will be used for the preparation of the 2021/22 accounts.
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For the External Auditor to explain the process of the External Audit of the Statement of Accounts and approach to the Value for Money audit 2021/22.

Internal Audit Draft Annual Plan 2022/2023	Democratic and Civic Officer	From Assurance Lincolnshire
External Audit Strategy Memorandum (Plan) for 2021/22	Caroline Capon, Corporate Finance Team Leader	To present the 2021/22 External Audit Strategy from our External Auditors, Mazars.

12 APRIL 2022

Internal Audit Quarter 4 Report 21/22	Democratic and Civic Officer	From Assurance Lincolnshire
Certification of Grants and Returns 2020/21	Caroline Capon, Corporate Finance Team Leader	To present the Housing Benefit Subsidy Claim Audit for 2020/21 from our External Auditor, Mazars
Annual Review of the Constitution / MO report	Katie Storr / Emma Redwood	
