

Governance and Audit Committee Workplan as at 1 November 2021

Purpose:

This report provides details of reports scheduled for committee for the 2018/19 and 2019/20 electoral cycles.

Recommendation:

1. That members note the report.

Date	Title	Lead Officer	Purpose of the report
9 NOVEMBER 2021			
28 Sep 2021	Audited Statement of Accounts	Caroline Capon, Corporate Finance Team Leader	Audited Statement of Accounts
28 Sep 2021	Report to those charged with Governance - EXTERNAL AUDIT COMPLETION REPORT - ISA 260	Caroline Capon, Corporate Finance Team Leader	To present to those charged with governance, the External Audit report on the quality of the Statement of Accounts and Annual Governance Statement 2020/21.
9 Nov 2021	Local Government and Social Care Ombudsman (LGO) Annual Review Letter Report 2020/21	Natalie Kostiuk, Customer Experience Officer	Report on the Local Government and Social Care Ombudsman Annual Review letter 2020/21 covering complaints referred to them between April 2020 and March 2021. Examining upheld complaints, learning actions and benchmarking with other authorities.
9 Nov 2021	Internal Audit Quarter 2 Report 21/22	Katie Storr, Democratic and Elections Team Manager	From Assurance Lincolnshire
9 Nov 2021	Member Development Annual Report	Ele Snow, Democratic and Civic Officer	To review Member Development for the previous Civic Year and to agree relevant actions for the current Civic Year
11 JANUARY 2022			
7 Mar 2022	Draft Treasury Management Strategy 2022-23	Caroline Capon,	Draft Treasury Management Strategy

Corporate Finance Team
Leader

11 Jan 2022 Internal Audit Quarter 3 Report 21/22

Katie Storr, Democratic
and Elections Team
Manager

From Assurance Lincolnshire

7 Mar 2022 Opting-In to Public Sector Audit Appointments
Ltd

Tracey Bircumshaw,
Assistant Director of
Finance and Property
Services and Section 151
Officer

Public Sector Audit Appointments Limited (PSAA), being
an appointing person for the purposes of the
Regulations, invites West Lindsey District Council (the
authority) to become an opted-in authority in accordance
with the Regulations

8 MARCH 2022

8 Mar 2022 Accounts Closedown 2021/22 Accounting
Matters

Caroline Capon,
Corporate Finance Team
Leader

To review and approve the accounting policies, actuary
assumptions and materiality levels that will be used for
the preparation of the 2021/22 accounts.

For the External Auditor to explain the process of the
External Audit of the Statement of Accounts and
approach to the Value for Money audit 2021/22.

8 Mar 2022 Internal Audit Draft Annual Plan 2022/2023

Katie Storr, Democratic
and Elections Team
Manager

From Assurance Lincolnshire

8 Mar 2022 External Audit Strategy Memorandum (Plan) for
2021/22

Caroline Capon,
Corporate Finance Team
Leader

To present the 2021/22 External Audit Strategy from our
External Auditors, Mazars.

12 APRIL 2022*

12 Apr 2022 Internal Audit Quarter 4 Report 21/22

Katie Storr, Democratic
and Elections Team
Manager

From Assurance Lincolnshire

12 Apr 2022 Certification of Grants and Returns 2020/21

Caroline Capon,
Corporate Finance Team
Leader

To present the Housing Benefit Subsidy Claim Audit for
2020/21 from our External Auditor, Mazars

* Strategic Risks and Constitution Review to be added to this meeting.