

Governance and Audit Workplan as at 1 April

Purpose:

This report provides a summary of reports due at Governance and Audit Committee through 2021-2022.

Recommendation:

1. That members note the plan.

Date	Title	Lead Officer	Purpose of the report	Date First Published
15 JUNE 2021				
15 Jun 2021	Certification of Grants & Returns	Caroline Capon, Corporate Finance Team Leader	Review of the Certification of Grants and Returns	08 June 2020
15 Jun 2021	Unaudited Statement of Accounts 2020-21	Caroline Capon, Corporate Finance Team Leader	Unaudited Statement of Accounts 2020-21	04 January 2021
15 Jun 2021	Review of Whistleblowing Activity	Monitoring Officer	Yearly update report	
20 JULY 2021				
20 Jul 2021	Report to those charged with Governance - EXTERNAL AUDIT COMPLETION REPORT - ISA 260	Caroline Capon, Corporate Finance Team Leader	To present to those charged with governance, the External Audit report on the quality of the Statement of Accounts and Annual Governance Statement 2020/21.	04 January 2021
20 Jul 2021	Annual Fraud Report 2020/21	Tracey Bircumshaw, Assistant Director of Finance and Property Services and Section 151 Officer	To present to members work undertaken to mitigate fraud, report on any instances of fraud and action plan for 2021/22	
20 Jul 2021	Annual Voice of the Customer Report 2020/21	Natalie Kostiuk, Customer Experience Officer	To summarise customer feedback from the year 2020/21 and analyse customer contact and demand data to provide a	

clear view of the voice of the customer.

20 Jul 2021	Audited Statement of Accounts	Caroline Capon, Corporate Finance Team Leader	Audited Statement of Accounts	04 January 2021
20 Jul 2021	Internal Audit Quarter 1 Report 21/22	James Welbourn, Democratic and Civic Officer	From Assurance Lincolnshire	
20 Jul 2021	Internal Audit Annual Report 2020/2021	James Welbourn, Democratic and Civic Officer	Assurance Lincolnshire Report for 2020/2021	
28 SEPTEMBER 2021				
28 Sep 2021	Local Government and Social Care Ombudsman (LGO) Annual Review Letter Report 2020/21	Natalie Kostiuk, Customer Experience Officer	Report on the Local Government and Social Care Ombudsman Annual Review letter 2020/21 covering complaints referred to them between April 2020 and March 2021. Examining upheld complaints, learning actions and benchmarking with other authorities.	
9 NOVEMBER 2021				
9 Nov 2021	Internal Audit Quarter 2 Report 21/22	James Welbourn, Democratic and Civic Officer	From Assurance Lincolnshire	
11 JANUARY 2022				
11 Jan 2022	Draft Treasury Management Strategy 2022-23	Caroline Capon, Corporate Finance Team Leader	Draft Treasury Management Strategy	
11 Jan 2022	Internal Audit Quarter 3 Report 21/22	James Welbourn, Democratic and Civic Officer	From Assurance Lincolnshire	
8 MARCH 2022				

8 Mar 2022	Accounts Closedown 2021/22 Accounting Matters	Caroline Capon, Corporate Finance Team Leader	<p>To review and approve the accounting policies, actuary assumptions and materiality levels that will be used for the preparation of the 2021/22 accounts.</p> <p>For the External Auditor to explain the process of the External Audit of the Statement of Accounts and approach to the Value for Money audit 2021/22.</p>
8 Mar 2022	Internal Audit Draft Annual Plan 2022/2023	James Welbourn, Democratic and Civic Officer	From Assurance Lincolnshire
8 Mar 2022	External Audit Strategy Memorandum (Plan) for 2021/22	Caroline Capon, Corporate Finance Team Leader	To present the 2021/22 External Audit Strategy from our External Auditors, Mazars.
12 APRIL 2022			
12 Apr 2022	Internal Audit Quarter 4 Report 21/22	James Welbourn, Democratic and Civic Officer	From Assurance Lincolnshire