



Governance and Audit

10th June 2025

Subject: Internal Audit Annual Progress Report and Audit follow up report Visit 2

Report by:

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Purpose / Summary:

To consider the Audit follow up report Visit 2 and the Annual progress report

RECOMMENDATION(S):

1. To consider and endorse the Audit Follow up report Visit 2 and the Annual progress report

IMPLICATIONS

Legal:

No legal implications

Financial:

The internal Audit Service has been contracted to RSM LLP and is within the budget for 25/26.

Staffing: None directly arising from this report

Equality and Diversity including Human Rights:

None directly arising from this report

Data Protection Implications:

None directly arising from this report

Climate Related Risks and Opportunities:

None from this report

Section 17 Crime and Disorder Considerations:

None directly arising from this report

Health Implications:

None from this report

Title and Location of any Background Papers used in the preparation of this report:

Risk Assessment:

Call in and Urgency:

Is the decision one which Rule 14.7 of the Scrutiny Procedure Rules apply?

i.e. is the report exempt from being called in due to urgency (in consultation with C&I chairman)

Yes

No

Key Decision:

A matter which affects two or more wards, or has significant financial implications

Yes

No

1. Background

- 1.1 RSM LLP provide the Internal Audit Service for the Council and this is their second year providing this service to the organisation.
- 1.2 This report details their findings from the second follow up visit and their Annual progress report. The report considers the staff appraisals audit, risk management, and the Complaints handling process for elected members.
- 1.3 Appendix 1 also contains the Internal Audit Charter adopted by RSM LLP

2. Recommendation

- 2.1 Governance and Audit Committee are asked to consider the contents of the Audit reports and endorse their content.